

# Out-of-distribution (OOD) generalization for learning quantum dynamics and dynamical simulation

Matthias C. Caro

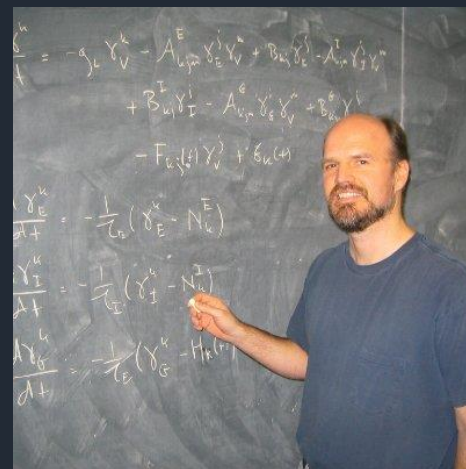
**Caltech**



TQC 2023

Based on [arXiv:2204.10268](https://arxiv.org/abs/2204.10268) and [arXiv:2204.10269](https://arxiv.org/abs/2204.10269)

# My collaborators



# Motivation

What this talk is about

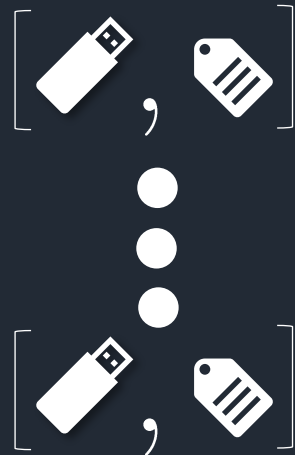
# In-Distribution Generalization – Intuition

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## Phase 1: Training

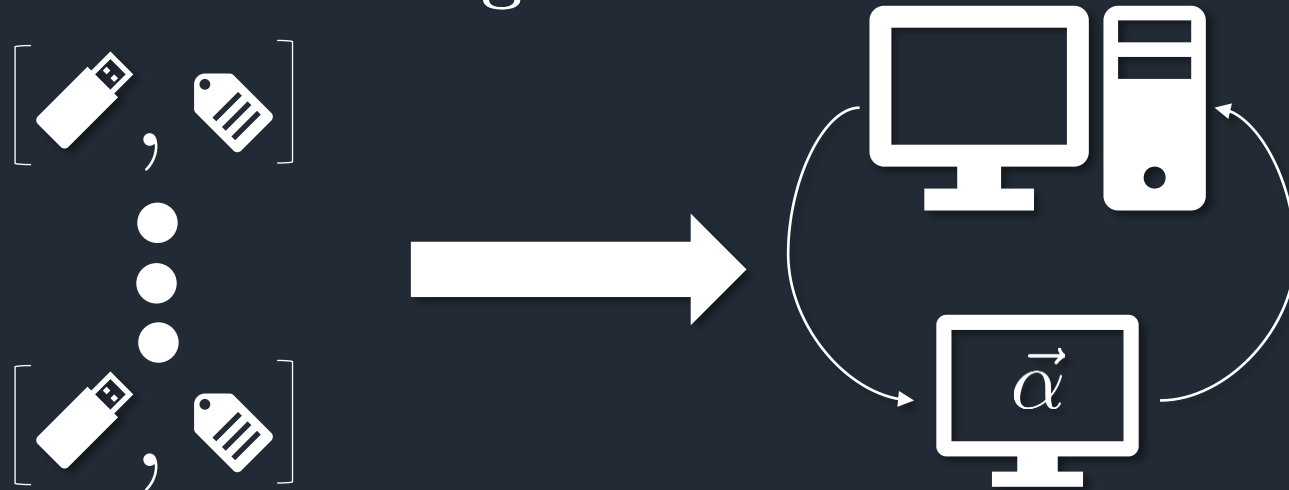
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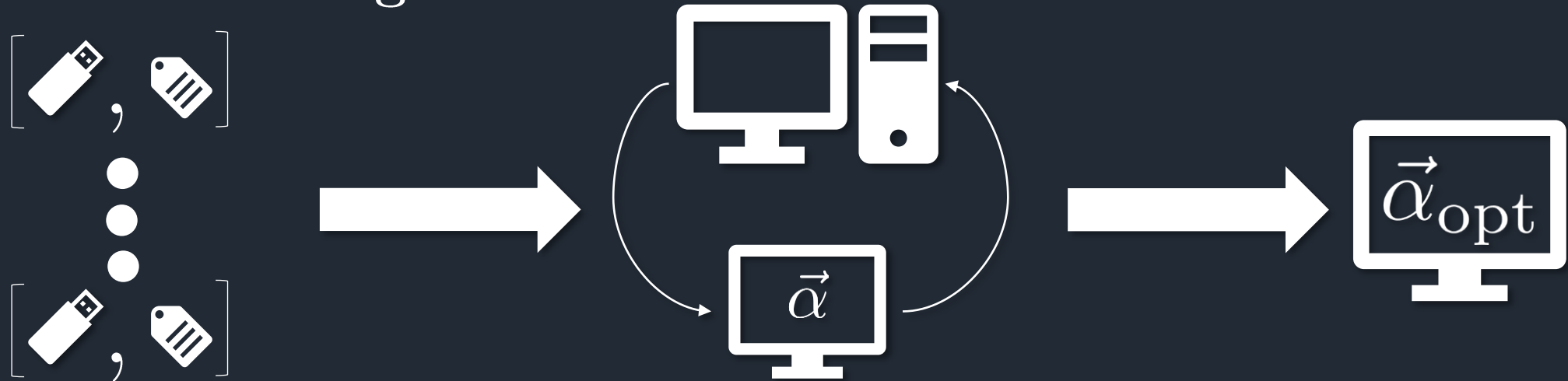
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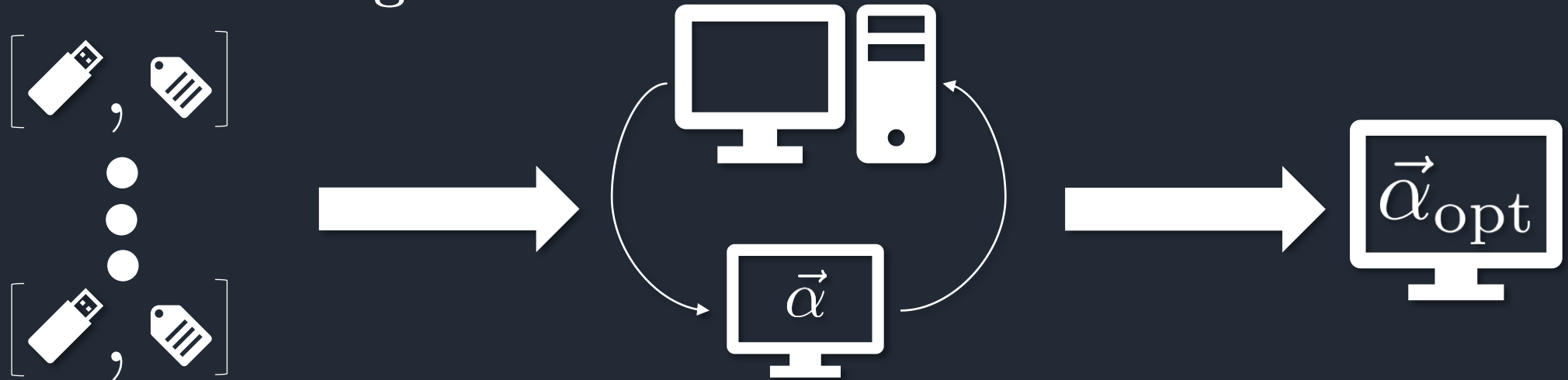
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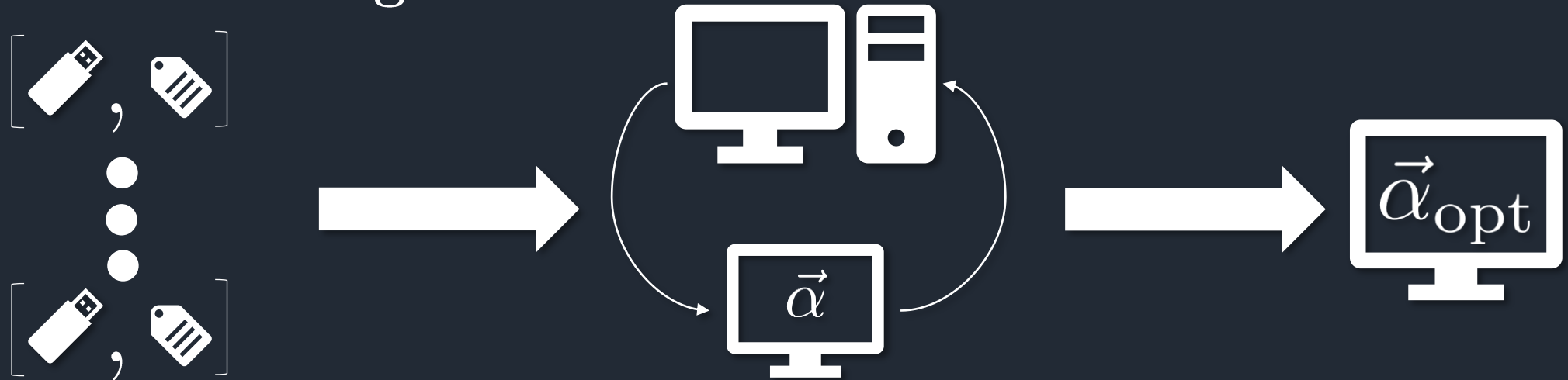
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Phase 2: Testing **on data from the same source**

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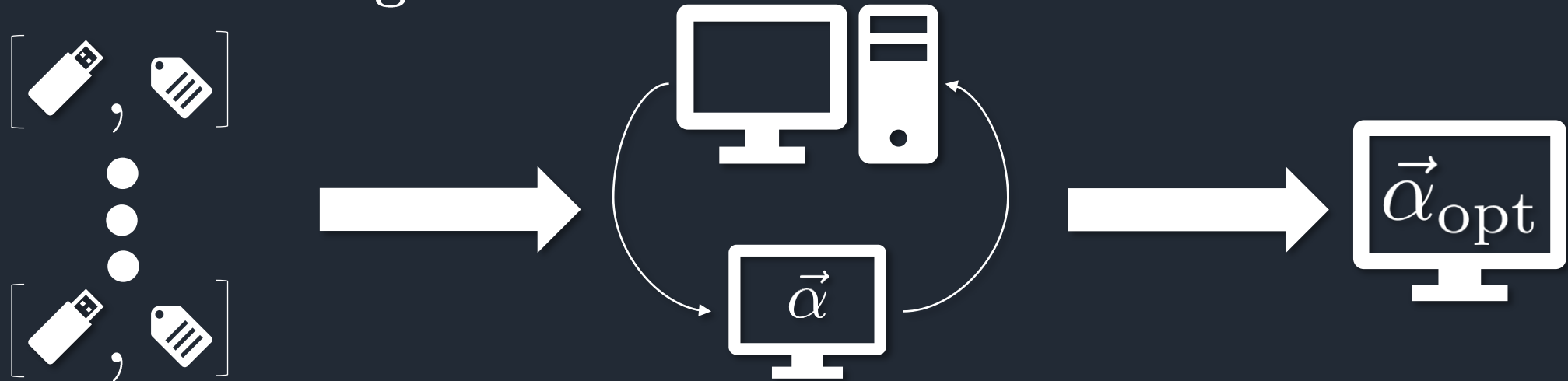


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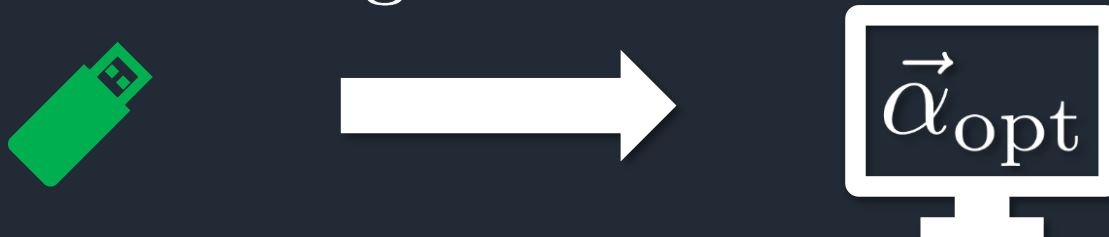


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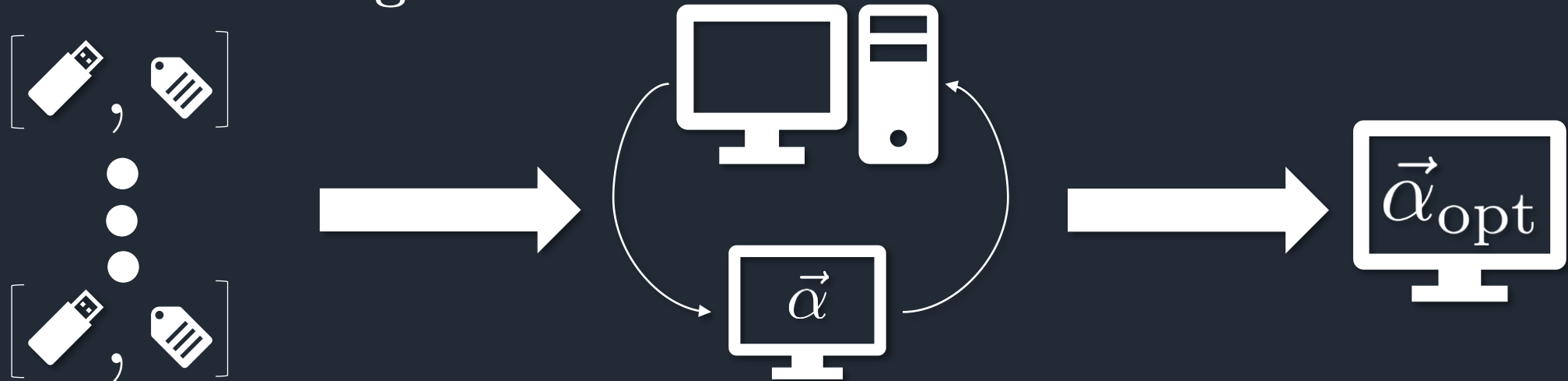


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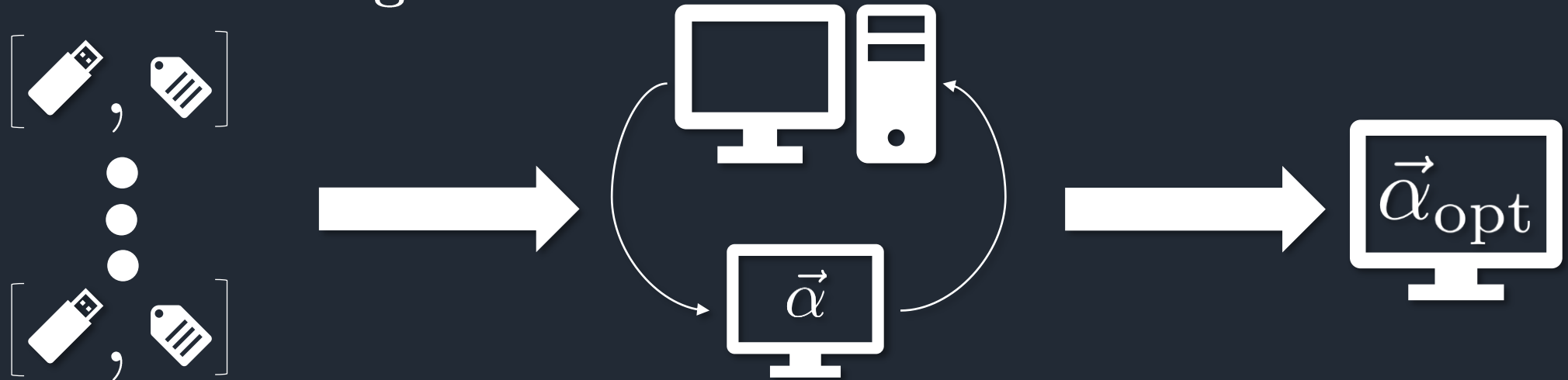
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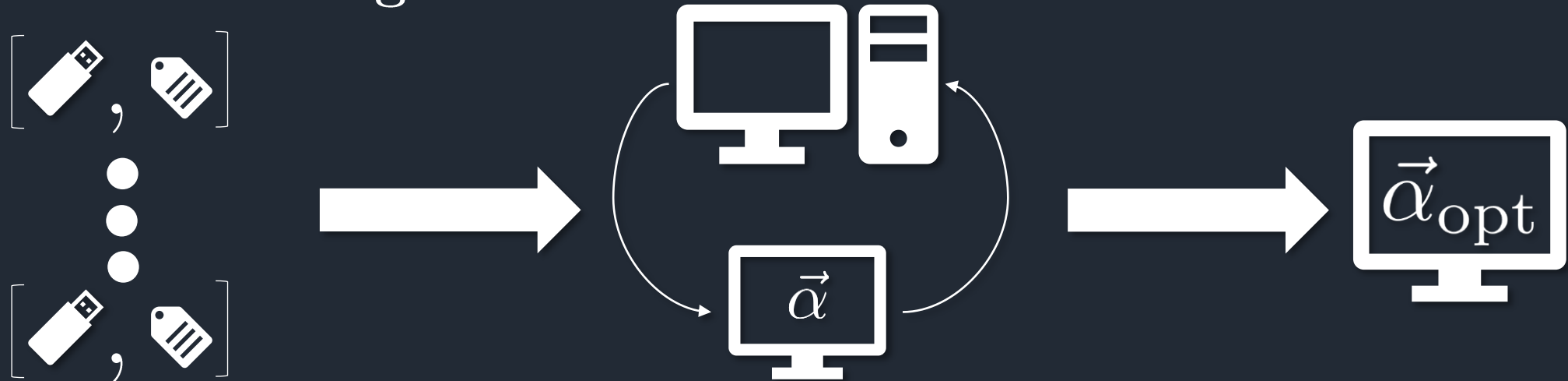
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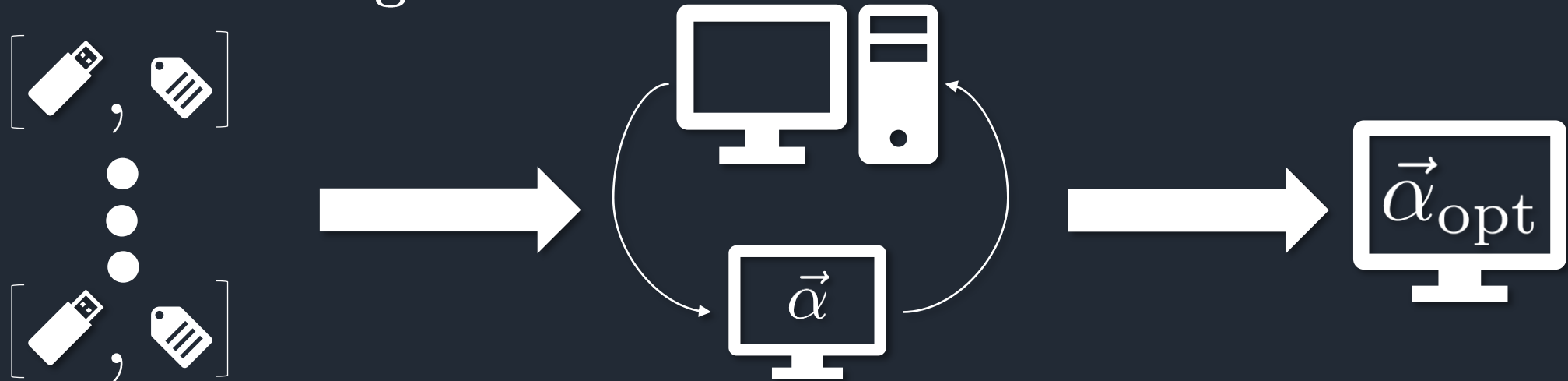
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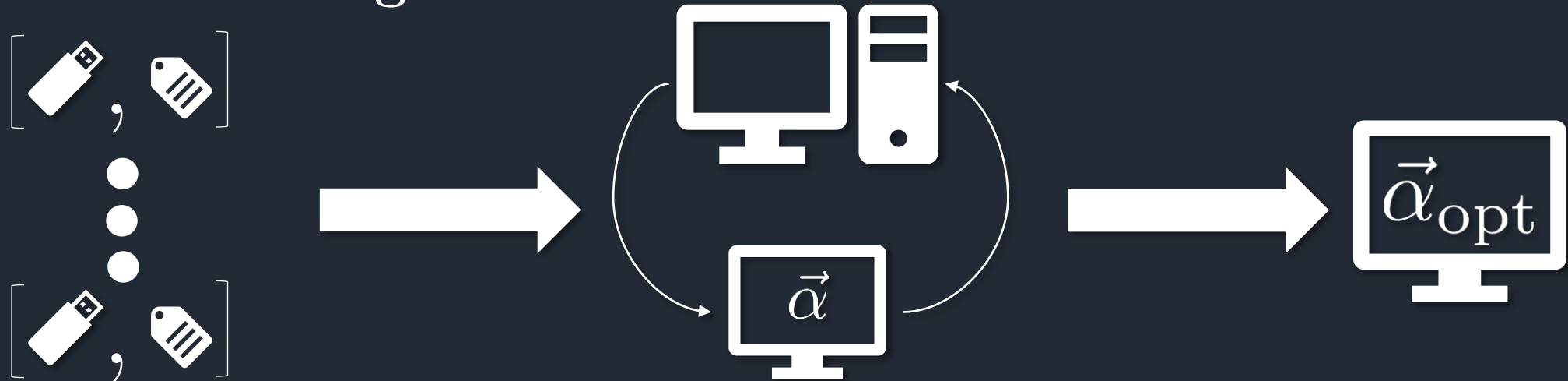


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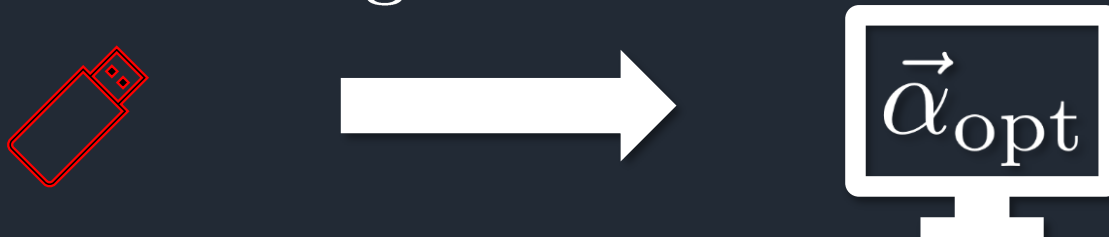


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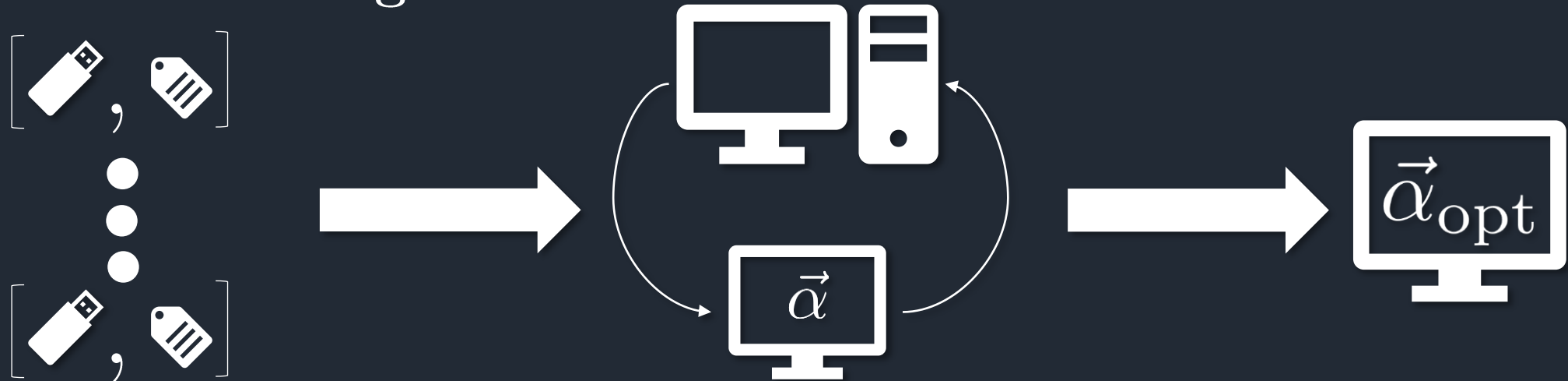


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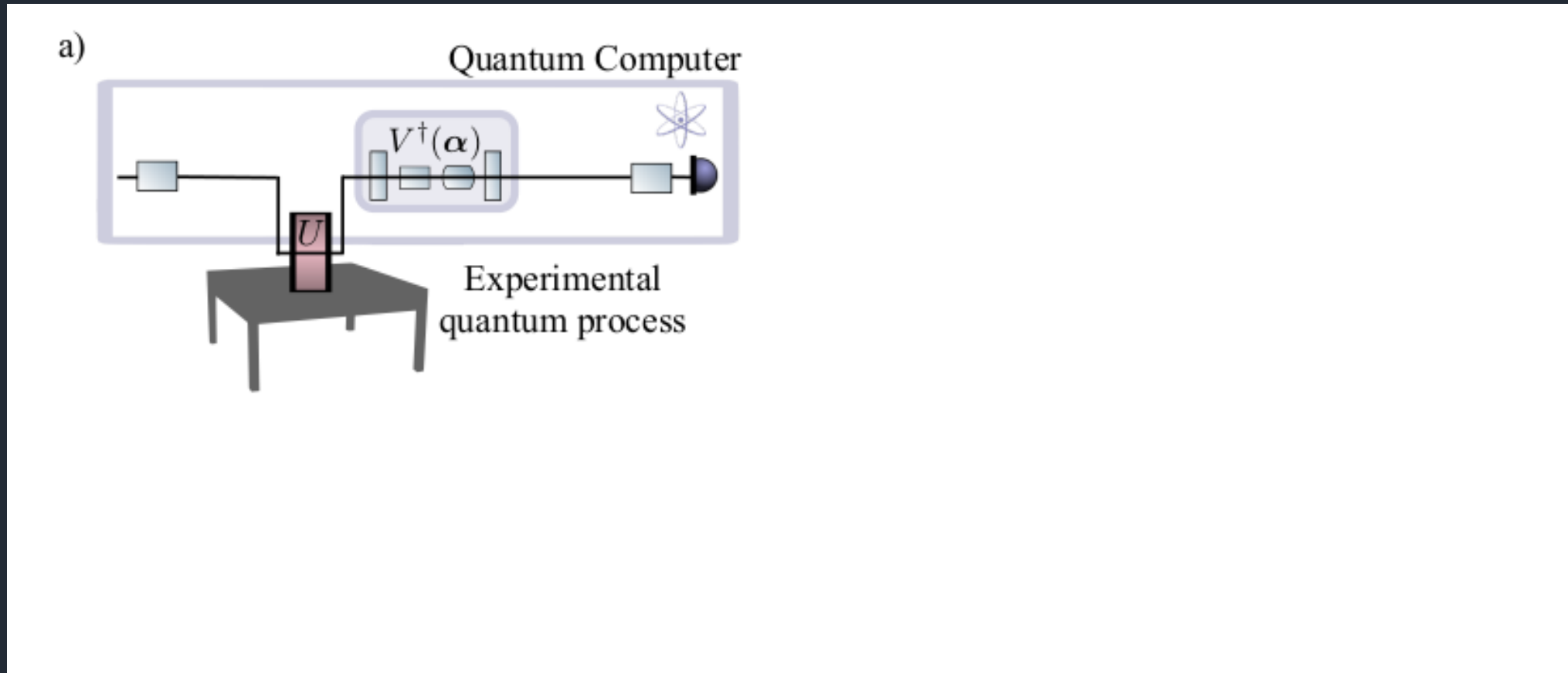


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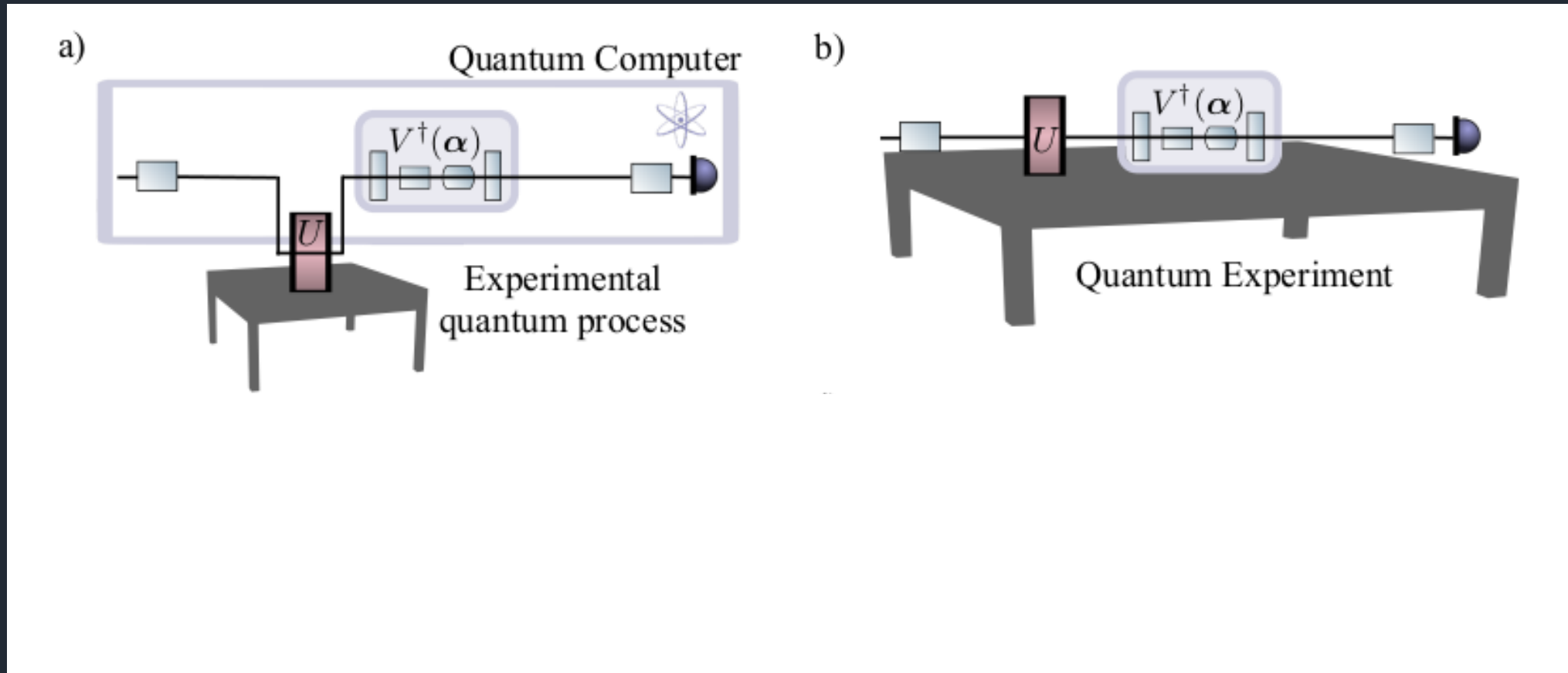


# Use Cases for Unitary Learning

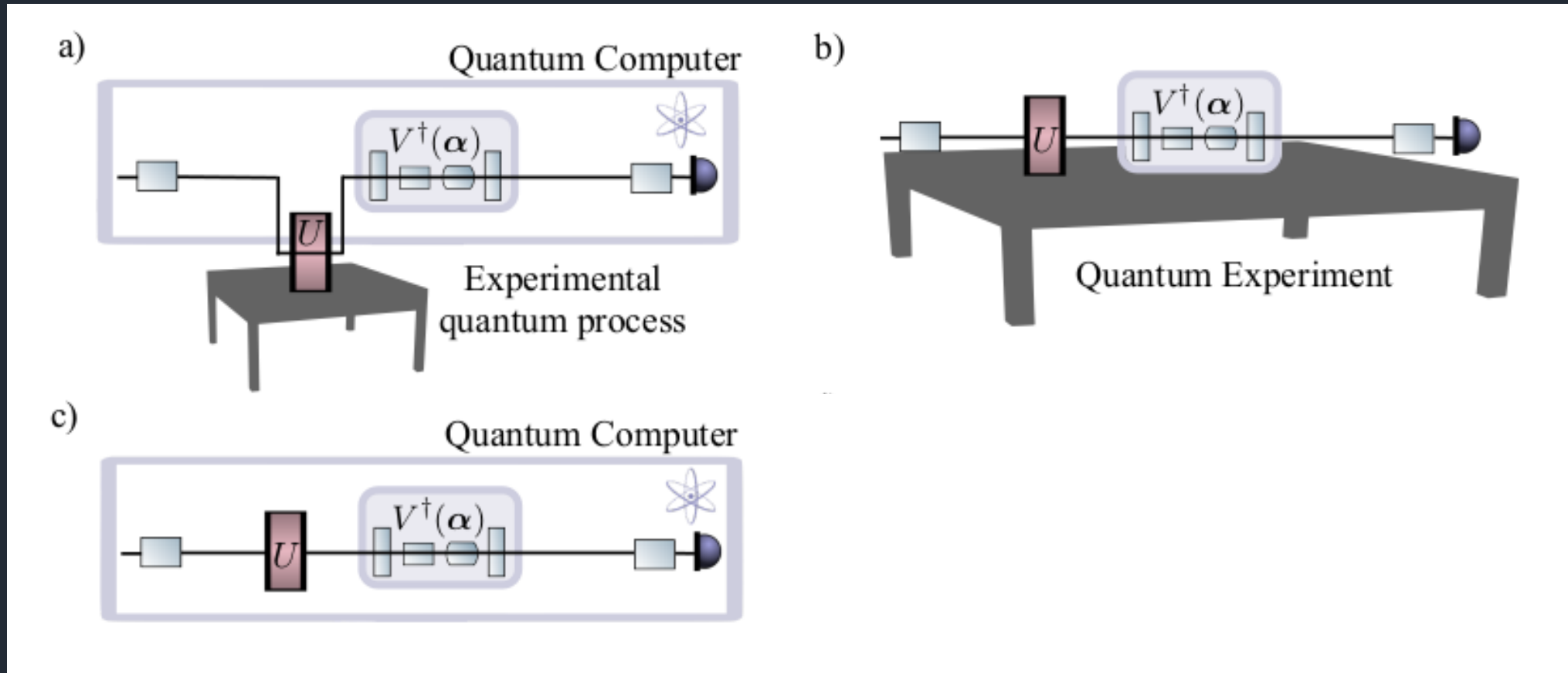
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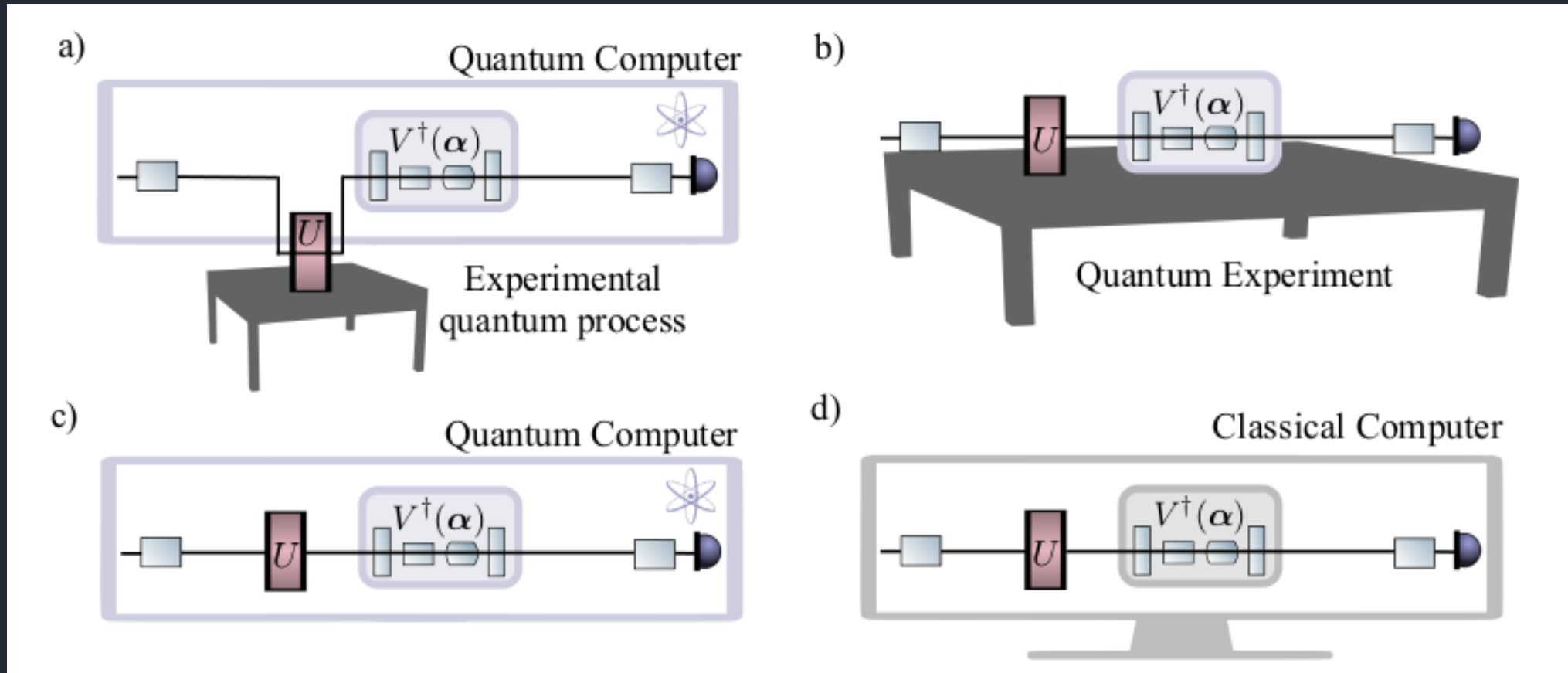
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# Outline

## Framework and Problem Setup

What learning problem we consider

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## Locally Scrambled Ensembles

What data sources we consider

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How different locally scrambled risks are related

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Use classical computer for the actual optimization of the parameters, obtaining  $\vec{\alpha}_{opt}$ .

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If  $U$  is the unknown unitary to be learned, the *expected testing risk* of the parameter setting  $\vec{\alpha}$  of a QNN  $V(\vec{\alpha})$  w.r.t. the *testing ensemble*  $\mathcal{P}$  is:

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**But:** As learner, we know neither  $U$  nor  $\mathcal{P}$ ...

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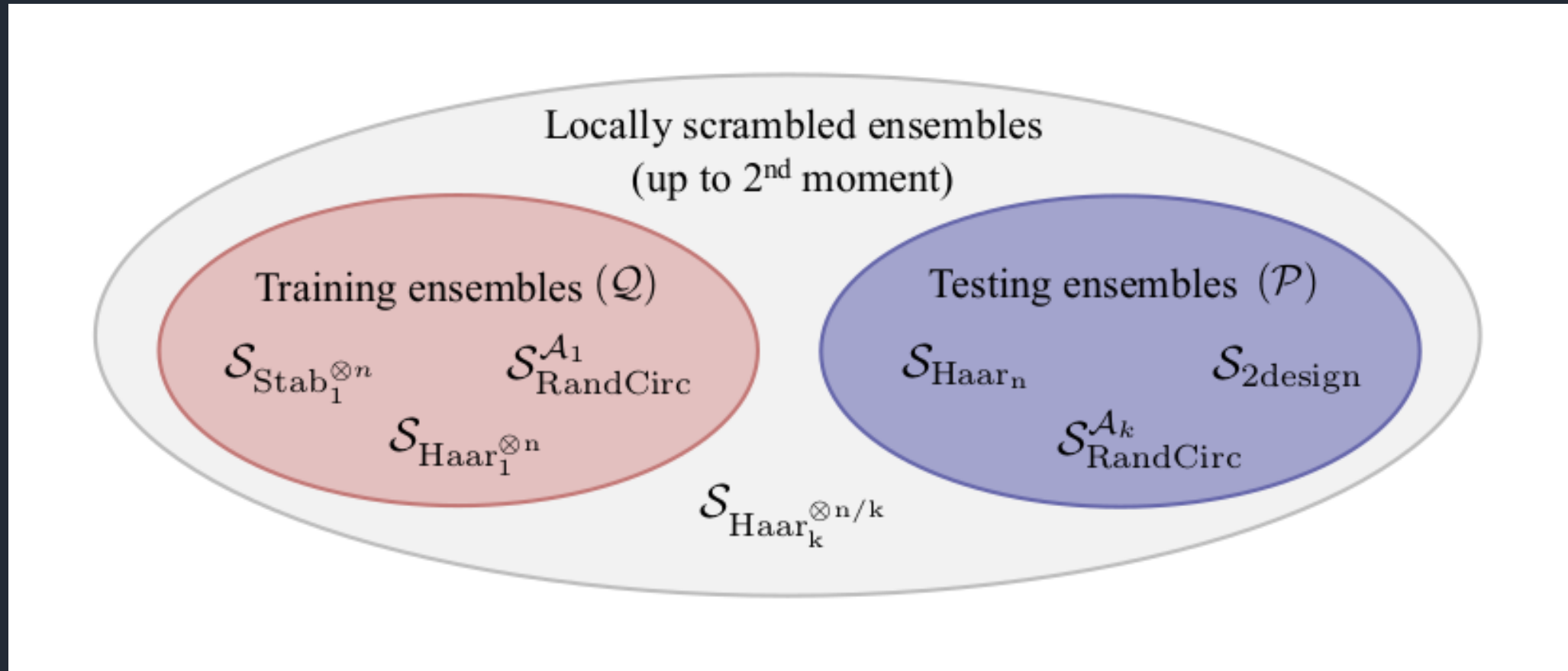
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# Locally Scrambled Ensembles – Training and Testing



# Equivalence of Locally Scrambled Risks

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**Theorem (Equivalence of locally scrambled ensembles for comparing unitaries [3]):**

Let  $\mathcal{P} \in \mathbb{S}_{\text{LS}}^{(2)}$  and  $\mathcal{Q} \in \mathbb{S}_{\text{LS}}^{(2)}$ , then for any parameter setting  $\vec{\alpha}$ ,

$$\frac{1}{2}R_{\mathcal{Q}}(\vec{\alpha}) \leq R_{\mathcal{P}}(\vec{\alpha}) \leq 2R_{\mathcal{Q}}(\vec{\alpha}) .$$

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In words:

Any two locally scrambled risks differ by at most a constant factor.

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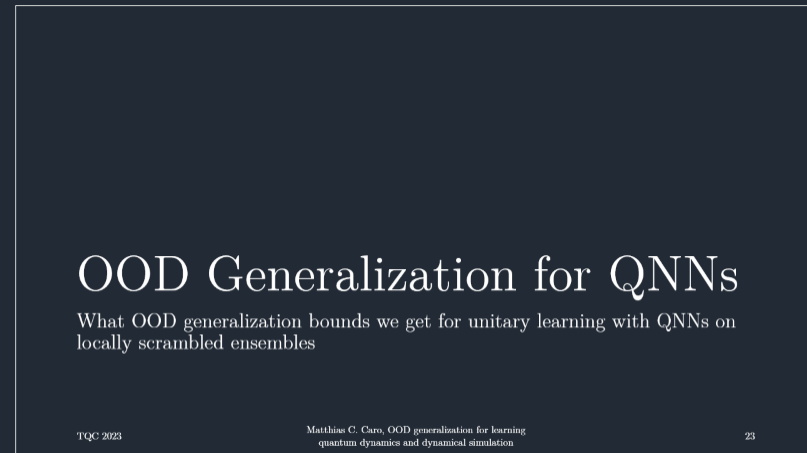
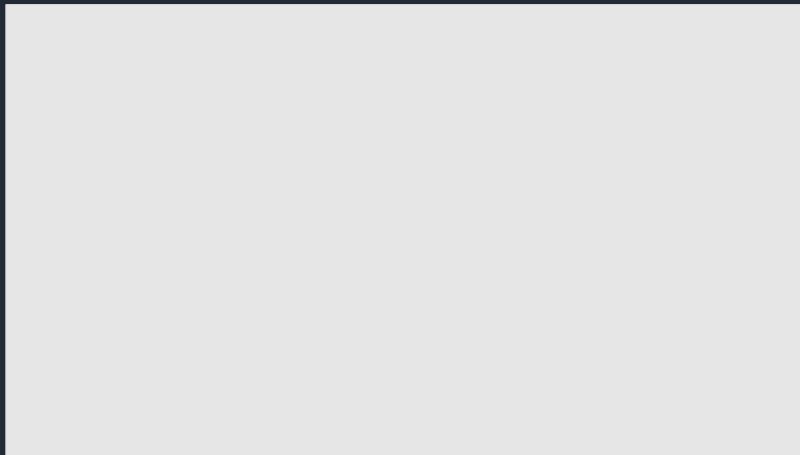
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**Lemma:**

For any  $\mathcal{Q} \in \mathbb{S}_{\text{LS}}^{(2)}$  and any parameter setting  $\vec{\alpha}$ ,

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**Proof of  $\frac{1}{2}R_{\mathcal{S}_{\text{Haar}_n}}(\vec{\alpha}) \leq \frac{2^n}{2^n+1}R_{\mathcal{Q}}(\vec{\alpha})$ :**

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- Finishing up (using that random stabilizers form a 2-design and that our ensemble is locally scrambled)

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# OOD Generalization for QNNs

What OOD generalization bounds we get for unitary learning with QNNs on locally scrambled ensembles

# Lifting ID to OOD Generalization

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Corollary (Locally scrambled OOD generalization from ID generalization [3]):

[3] M.C.C., H.-Y. Huang, N. Ezzell, J. Gibbs, A.T. Sornborger, L. Cincio, P.J. Coles, Z. Holmes; [\*arXiv:2204.10268 \(2022\)\*](#)

# Lifting ID to OOD Generalization

**Corollary (Locally scrambled OOD generalization from ID generalization [3]):**

Let  $\mathcal{P}, \mathcal{Q} \in \mathbb{S}_{\text{LS}}^{(2)}$ . Let  $U$  be an unknown  $n$ -qubit unitary. Let  $V(\vec{\alpha})$  be an  $n$ -qubit unitary QNN that is trained using training data  $\mathcal{D}_{\mathcal{Q}}(N)$  containing  $N$  input-output pairs, with inputs drawn from the ensemble  $\mathcal{Q}$ . Then, for any parameter setting  $\vec{\alpha}$ ,

$$R_{\mathcal{P}}(\vec{\alpha}) \leq 2 \left( C_{\mathcal{D}_{\mathcal{Q}}(N)}(\vec{\alpha}) + \text{gen}_{\mathcal{Q}, \mathcal{D}_{\mathcal{Q}}(N)}(\vec{\alpha}) \right) .$$

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**OOD risk** controlled by training cost and ID generalization error.

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Any ID generalization bound for QNNs **directly** gives rise to a locally scrambled OOD generalization bound for unitary learning!

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Concrete Example, using the ID generalization bound from [4]:

[4] **M.C.C.**, H.-Y. Huang, M. Cerezo, K. Sharma, A.T. Sornborger, L. Cincio, P.J. Coles; Nat Commun 13, 4919 (2022)

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Let  $\mathcal{P}, \mathcal{Q} \in \mathbb{S}_{\text{LS}}^{(2)}$ . Let  $U \in \mathcal{U}((\mathbb{C}^2)^{\otimes n})$ . Let  $V(\vec{\alpha})$  be an  $n$ -qubit unitary QNN with  $T$  parameterized local gates. When trained with the cost  $C_{\mathcal{D}_{\mathcal{Q}}(N)}$  using training data  $\mathcal{D}_{\mathcal{Q}}(N)$ , the OOD risk w.r.t.  $\mathcal{P}$  of the parameter setting  $\vec{\alpha}_{\text{opt}}$  after training satisfies, w.h.p. over the choice of training data of size  $N$  acc. to  $\mathcal{Q}$ ,

$$R_{\mathcal{P}}(\vec{\alpha}_{\text{opt}}) \leq 2C_{\mathcal{D}_{\mathcal{Q}}(N)}(\vec{\alpha}_{\text{opt}}) + \mathcal{O}\left(\sqrt{\frac{T \log(T)}{N}}\right).$$

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# Applications and Numerics

How OOD generalization can be useful more concretely

# Learning a Heisenberg Spin Chain Hamiltonian

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Note: We considered the following specific target values

$$p_k^* = \sin\left(\frac{\pi k}{2n}\right) \text{ for } 1 \leq k \leq n-1 \text{ and } q_k^* = \sin\left(\frac{\pi k}{n}\right), r_k^* = \cos\left(\frac{\pi k}{n}\right) \text{ for } 1 \leq k \leq n.$$

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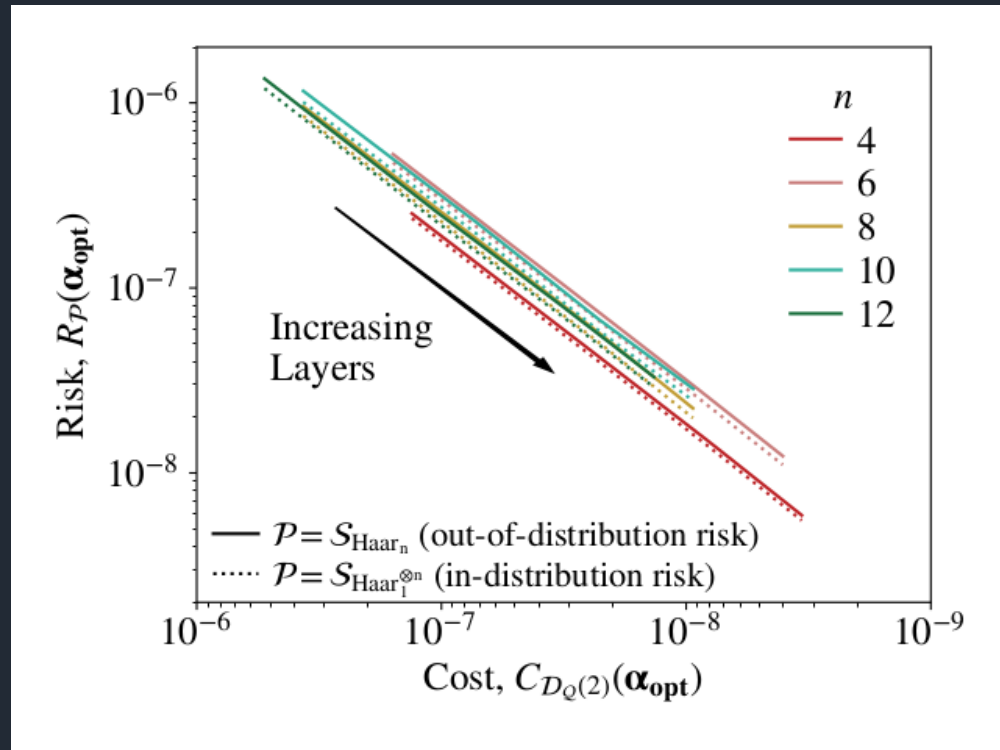
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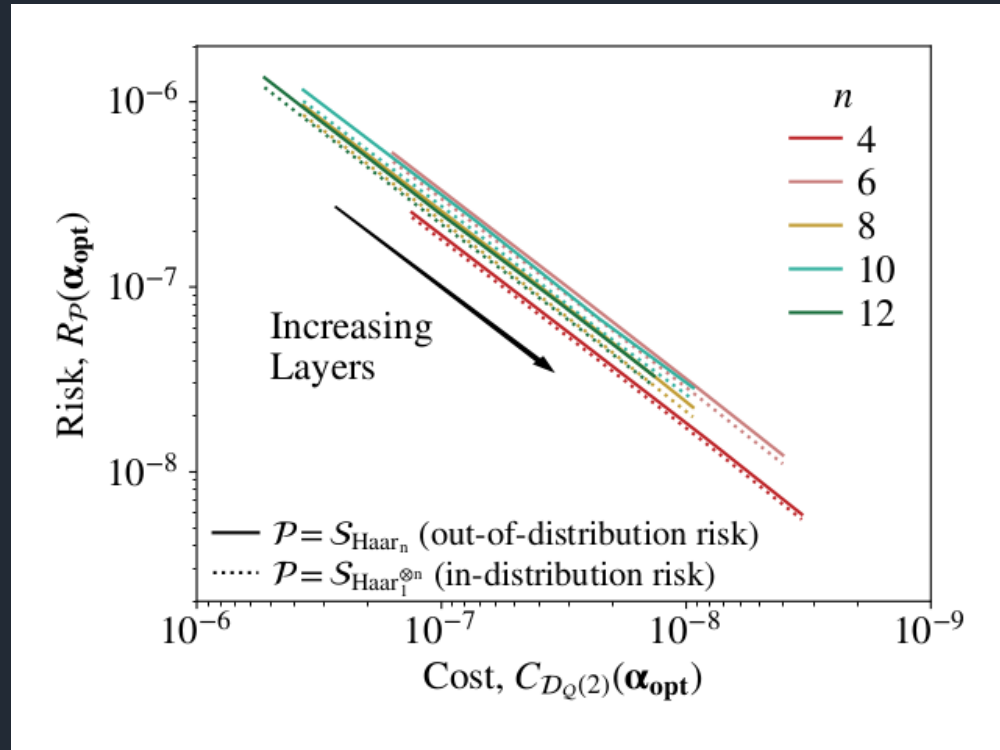
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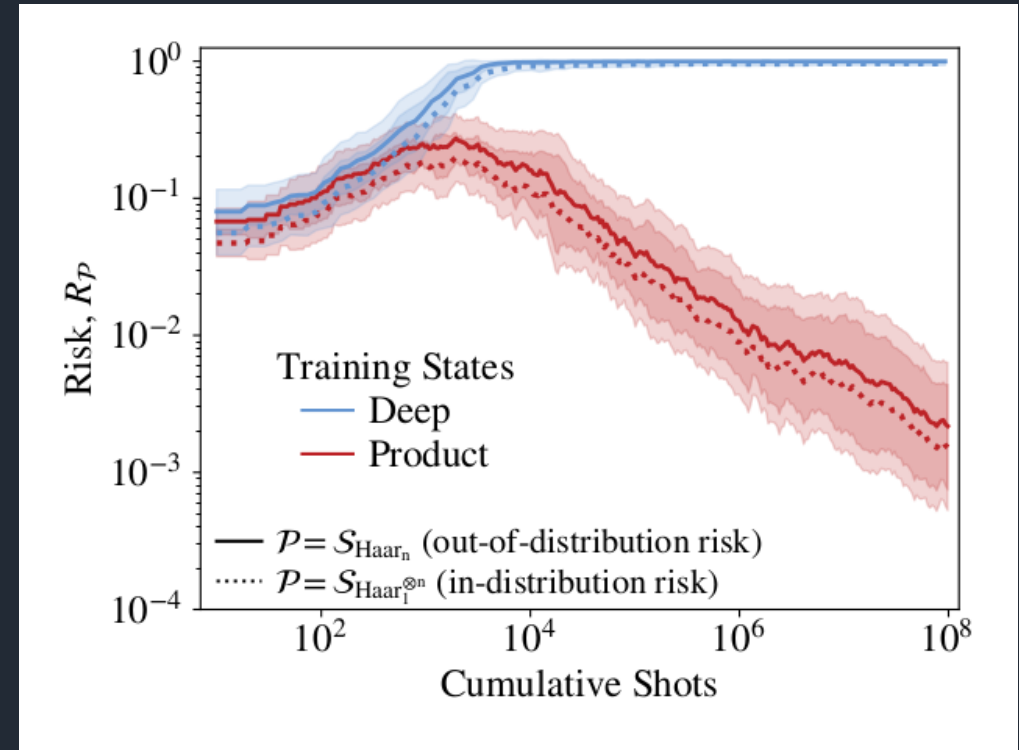


Noise-free simulations

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[5] R. Belyansky, P. Bienias, Y.A. Kharkov, A.V. Gorshkov, and B. Swingle; *Phys. Rev. Lett.* **125**, 130601 (2020)

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- **Ansatz:** Same form:  $V(\vec{\alpha}) = \prod_{j=1}^t V_j^I(\vec{\alpha}_j) U_j^{II}$  with  $V_j^I(\vec{\alpha}_j) = \prod_{k=1}^n v_{j,k}(\vec{\alpha}_{j,k})$

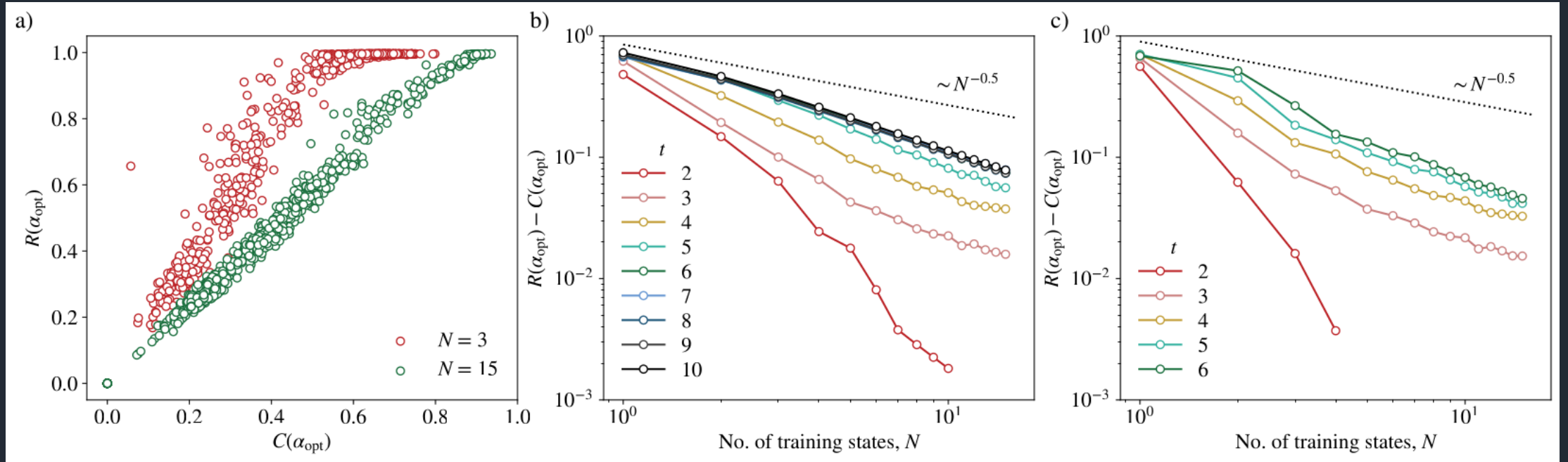
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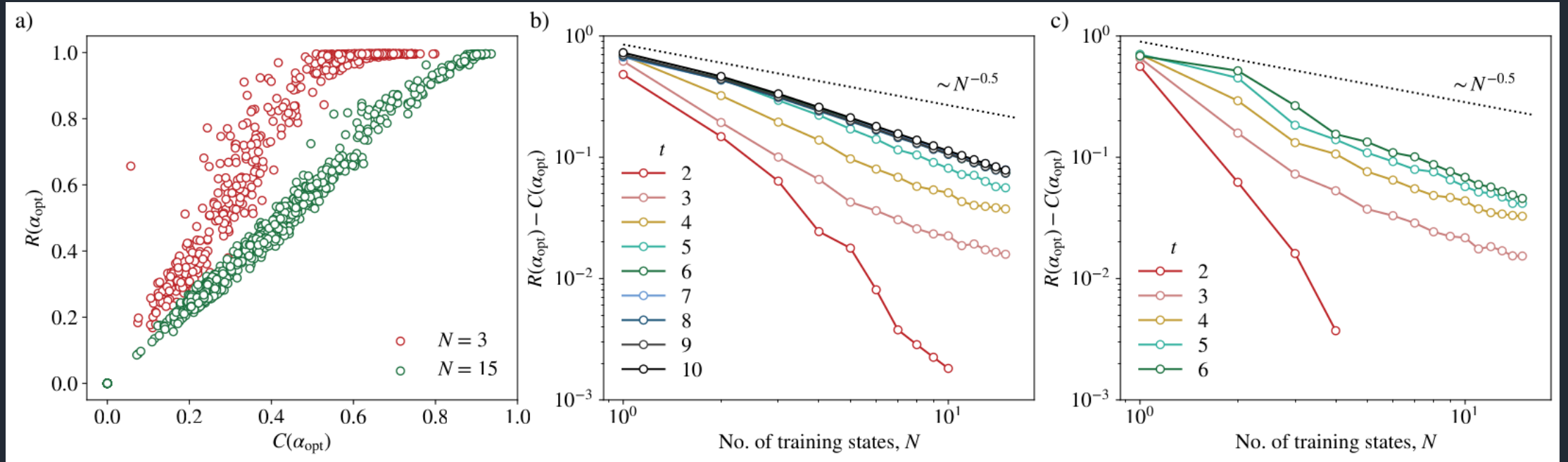
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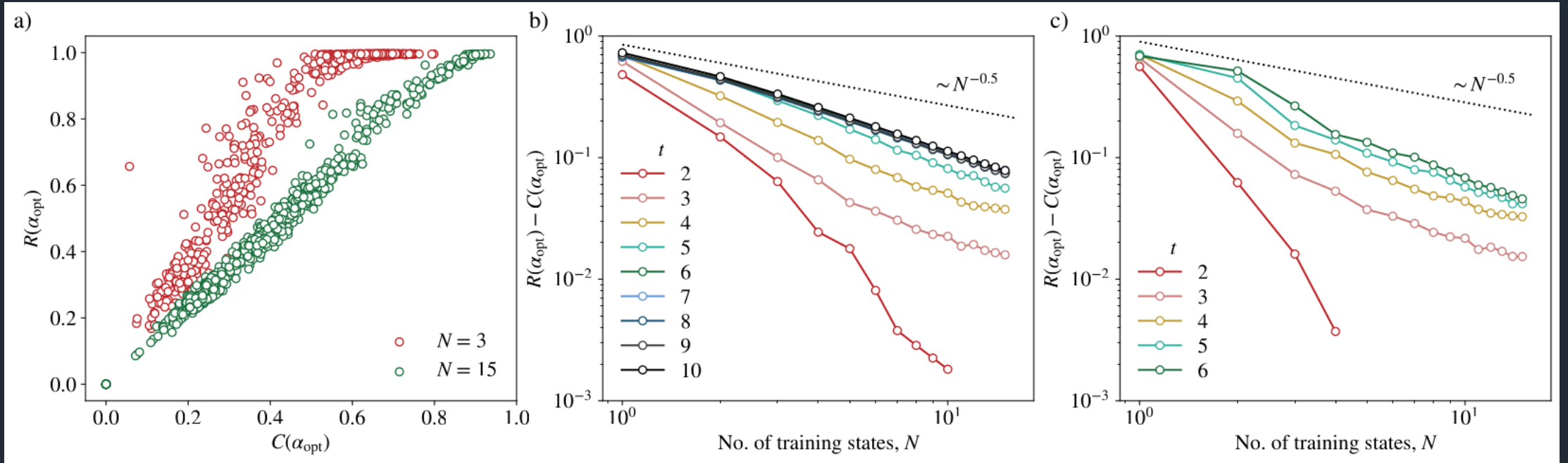
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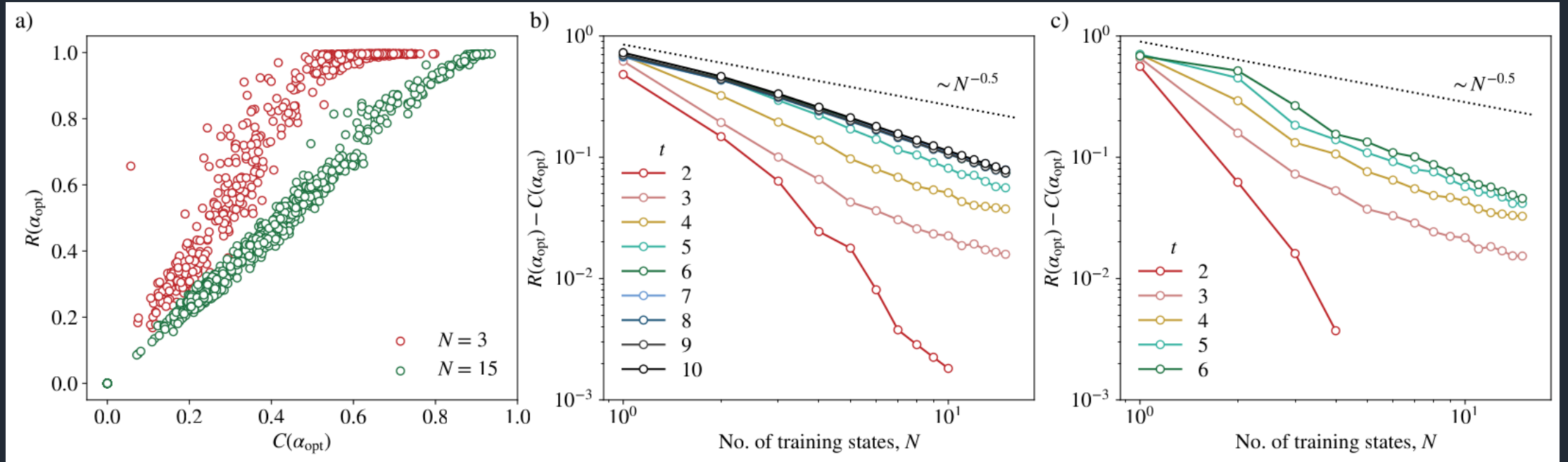


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Average generalization error versus training data size, conditioned on successful training

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[6] J. Gibbs, Z. Holmes, M.C.C., N. Ezzell, H.-Y. Huang, L. Cincio, A.T. Sornborger, P.J. Coles; [\*arXiv:2204.10269 \(2022\)\*](#)

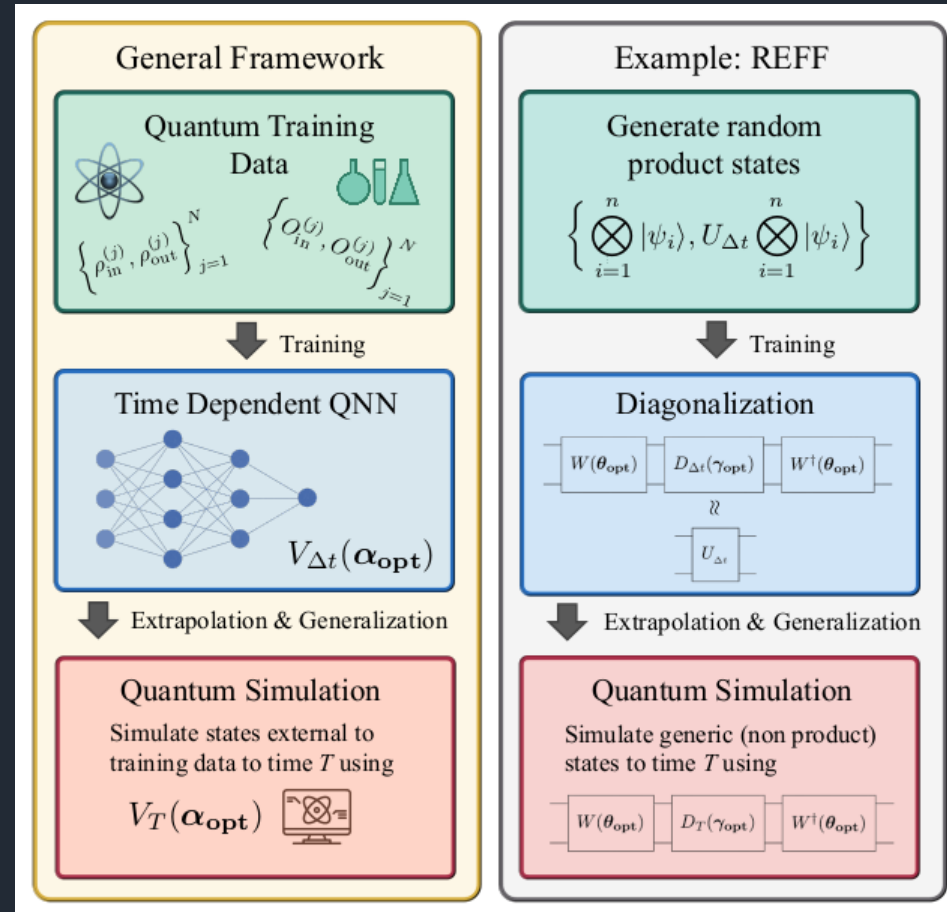
# Dynamical Simulation [6]

- **High-level goal:** Efficient procedure for simulating long-time quantum evolutions
- **High-level idea:** Time-dependent QNN that
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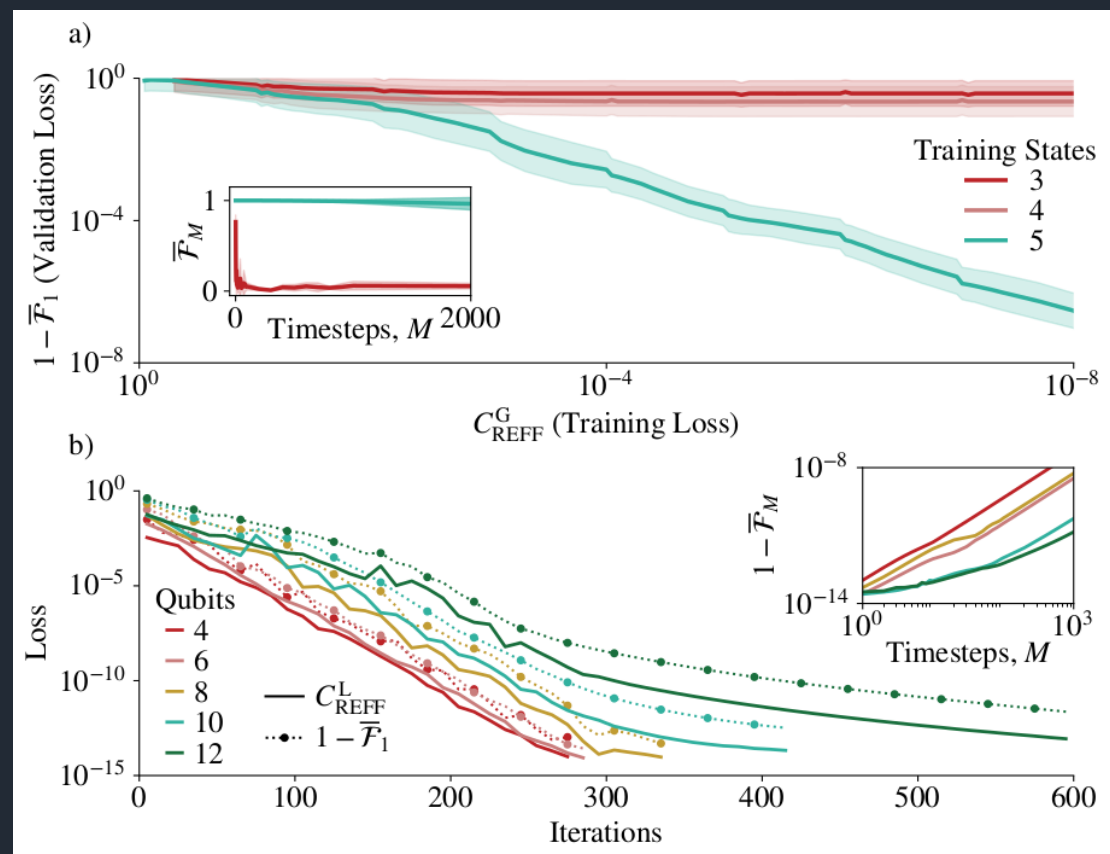
➡ **Resource-Efficient Fast Forwarding (REFF)**

[6] J. Gibbs, Z. Holmes, M.C.C., N. Ezzell, H.-Y. Huang, L. Cincio, A.T. Sornborger, P.J. Coles; [\*arXiv:2204.10269 \(2022\)\*](#)

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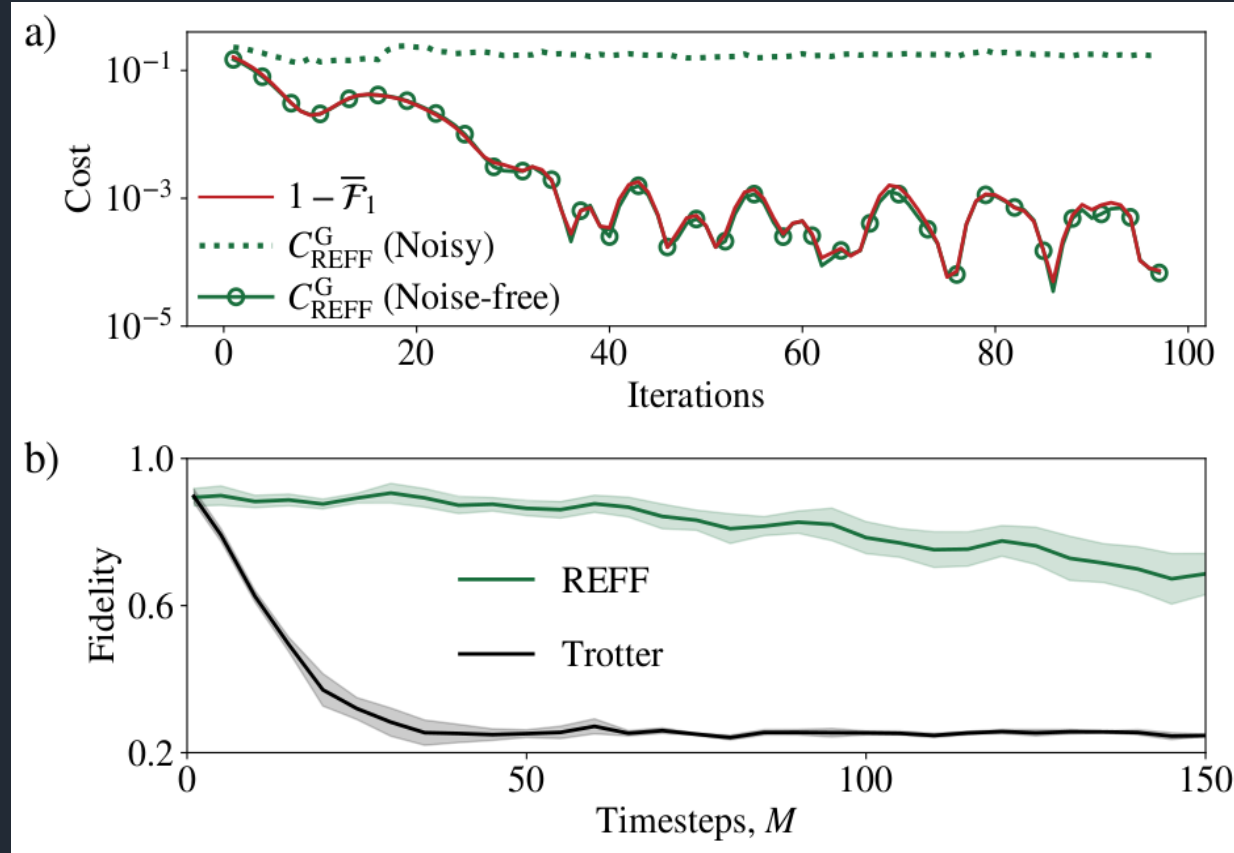


# Dynamical Simulation – Simulations [6]



[6] J. Gibbs, Z. Holmes, M.C.C., N. Ezzell, H.-Y. Huang, L. Cincio, A.T. Sornborger, P.J. Coles; [arXiv:2204.10269](https://arxiv.org/abs/2204.10269) (2022)

# Dynamical Simulation – Hardware Implementation [6]



[6] J. Gibbs, Z. Holmes, M.C.C., N. Ezzell, H.-Y. Huang, L. Cincio, A.T. Sornborger, P.J. Coles; [arXiv:2204.10269](https://arxiv.org/abs/2204.10269) (2022)

# Conclusion and Outlook

What we talked about and what one could do next

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- **Physics-inspired ensembles for OOD generalization**

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# Your Questions

